



PATENT APPLICATION  
Docket: 14321.79

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
)  
Tsutomu Kitoh et al. )  
)  
Serial No.: 10/540,789 ) Art Unit  
) 2874  
Filed: April 14, 2005 )  
)  
Confirmation No.: 2077 )  
)  
For: ARRAY WAVEGUIDE LATTICE TYPE OPTICAL )  
MULTIPLEXER/DEMULTIPLEXER CIRCUIT )

PETITION FOR CORRECTION OF FILING RECEIPT AND  
NOTICE OF ACCEPTANCE OF APPLICATION

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Attached is a copy of the official Filing Receipt received from the United States Patent and Trademark Office in the above-identified application for which issuance of a corrected Filing Receipt is respectfully requested.

Please amend the Filing Receipt under the section "Applicant(s)" to read as follows:

Tsutomu Kitoh, Tokyo, JAPAN;  
Yasuyuki Inoue, Tokyo, JAPAN;  
Mikitaka Ito, Tokyo, JAPAN;  
Yoshinori Hibino, Tokyo, JAPAN;  
Akimasa Kaneko, Tokyo, JAPAN

Please amend the Filing Receipt under the section "Foreign Applications" to read as follows:

JAPAN 2002-378882 12/27/2002

The above amendments are supported by the Combined Declaration and Power of Attorney filed in the United States Patent and Trademark Office on April 14, 2006. A copy of the Declaration is attached.

Also attached is a copy of the Notice of Acceptance of Application under 35 USC § 371 and 37 CFR § 1.495 relating to the above-identified patent application. Applicant hereby respectfully requests issuance of a corrected Notice of Acceptance with the below amendment.

Please amend the Notice of Acceptance under the section "Priority Date" to read as follows:

12/27/2002

The requested amendment is marked on the attached Notice. The above priority date is also supported by the attached Declaration.

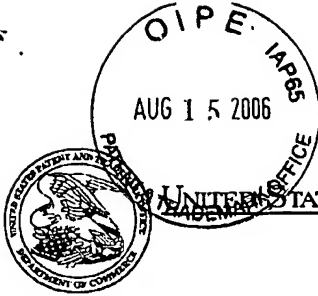
Applicant submits that the corrections are not due to any error by applicant, and accordingly it is respectfully submitted that no fee is due relative thereto.

Dated this 10 day of August 2006.

Respectfully submitted,



DANA L. TANGREN  
Attorney for Applicant  
Registration No. 37,246  
Customer No. 022913  
Telephone No. 801.533.9800



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/540,789	04/14/2006	2874	1030	14321.79	11	2	1

CONFIRMATION NO. 2077

22913

WORKMAN NYDEGGER  
 (F/K/A WORKMAN NYDEGGER & SEELEY)  
 60 EAST SOUTH TEMPLE  
 1000 EAGLE GATE TOWER  
 SALT LAKE CITY, UT 84111

## FILING RECEIPT



\*OC000000019613395\*

Date Mailed: 07/19/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Akimasa Kaneko, Tokyo, Japan  
 Tsutomu Kitoh, Tokyo, JAPAN;  
 Yasuyuki Inoue, Tokyo, JAPAN;  
 Mikitaka Ito, Tokyo, JAPAN;  
Yoshinori Hibino, Tokyo, Japan

Power of Attorney: The patent practitioners associated with Customer Number **22913**.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/17065 12/26/2003

Foreign Applications Japan 2002-378882 12/27/2002  
 If Required, Foreign Filing License Granted: 07/13/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/540,789**

Projected Publication Date: 10/19/2006

Non-Publication Request: No

Early Publication Request: No

DOCKETED  
 Atty Jan File #             
 By AM On 8/16/06  
 Action             
 Response Due           



**Title**

Array waveguide lattic type optical multilexer/demultiplexer circuit

**Preliminary Class**

385

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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